

Hopf algebroids with balancing subalgebra

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Abstract

Recently, S. Meljanac proposed a construction of a class of examples of an algebraic structure with properties very close to the Hopf algebroids H over a noncommutative base A of other authors. His examples come along with a subalgebra \mathcal{B} of $H \otimes H$, here called the balancing subalgebra, which contains the image of the coproduct and such that the intersection of \mathcal{B} with the kernel of the projection $H \otimes H \rightarrow H \otimes_A H$ is a two-sided ideal in \mathcal{B} which is moreover well behaved with respect to the antipode. We propose a set of abstract axioms covering this construction and make a detailed comparison to the Hopf algebroids of Lu. We prove that every scalar extension Hopf algebroid can be cast into this new set of axioms. We present an observation by G. Böhm that the Hopf algebroids constructed from weak Hopf algebras fit into our framework as well. At the end we discuss the change of balancing subalgebra under Drinfeld-Xu procedure of twisting of associative bialgebroids by invertible 2-cocycles.

1 Introduction

Hopf algebroids [2, 6, 16] are generalizations of Hopf algebras, which are roughly in the same relation to groupoids as Hopf algebras are to groups. They are **bialgebroids** possessing a version of an antipode, where an (associative) bialgebroid is the appropriate generalization of a bialgebra. Hopf algebroids comprise several structure maps defined on a pair of associative unital algebras, the **total algebra** H (generalization of a function algebra on the space of morphisms of a groupoid), and the **base algebra** A (generalization of a function algebra on the space of objects (equivalently, units) of a groupoid). The main structure on the total algebra of a bialgebroid is an A -bimodule structure on H and a coproduct $\Delta : H \rightarrow H \otimes_A H$. The commutative Hopf algebroids (where both H and A are commutative) are

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easy to define by a categorical dualization of the groupoid concept. They are used as a classical tool in stable homotopy theory [12, 23]. Noncommutative Hopf algebroids over a commutative base (H noncommutative, A commutative) are also rather straightforward to introduce; this theory has been studied from late 1980-s, under the influence of the quantum group theory [20]. The most obvious examples are the convolution algebras of finite groupoids. Bialgebroids and Hopf algebroids over a noncommutative base are much more complicated to define; several versions were developed in early 1990s by Lu [16], Xu [31], Böhm [1], Böhm-Szlachányi [5], Day-Street [8] and others, including an earlier notion of \times_A -bialgebra [30] and its Hopf version by Schauenburg [24]; for comparisons see [2, 6]. Böhm has also introduced an internalization of a bialgebroid in any symmetric monoidal category with coequalizers commuting with tensor product [3]; this has been extended to an internalization of Hopf algebroids in [27]. Many examples of Hopf algebroids over noncommutative bases have been studied in the contexts of inclusions of von Neumann algebra factors [2], dynamical Yang-Baxter equation, weak Hopf algebras, deformation quantization [31], noncommutative torsors, noncommutative differential calculus and cyclic homology [13] etc.

In 2012, S. Meljanac devised a new approach to some examples of (topological) Hopf algebroids over a noncommutative base restricting the codomain of the coproduct map in a useful, but somewhat *ad hoc* way. To construct that codomain, he chooses a subalgebra \mathcal{B} in the tensor square $H \otimes H$ of the total algebra H , such that the intersection of \mathcal{B} with the kernel I_A of the projection $\pi : H \otimes H \rightarrow H \otimes_A H$ to the tensor square over the noncommutative base algebra A is a two-sided ideal $I_A \cap \mathcal{B} \subset \mathcal{B}$ (with an appropriate behaviour under the antipode map). The appearance of the two-sided ideal is a novel and somewhat unexpected feature reminding of the classical case where the base algebra A is commutative and I_A is two-sided itself. The approach is developed in collaborative works [15], with more details in [14].

Papers [14] and [15] neglect two mathematical issues. Firstly, no care is taken about the implicit use of completions: the values of the coproduct involve infinite sums, hence its codomain should be a completed tensor square. Secondly, at the algebraic level, they do not state a complete axiomatic framework for their version of Hopf algebroid, nor state its precise relation to other definitions. Instead, they construct an interesting class of examples and give a partial list of essential properties. In our article [21] with Meljanac, we treat a somewhat wider class of examples in a mathematically rigorous way, using G. Böhm's definition of a symmetric Hopf algebroid,

partly adapted to a formally completed tensor product. For a better adaptation, which gives rise to an internal Hopf algebroid in a symmetric monoidal category of filtered-cofiltered vector spaces, entailing a more sensible completion, see [27, 28]. These works took care of completions, but instead of the two-sided ideal approach they relied on (an internalization of) symmetric Hopf algebroid axiomatics [2, 5]. To return closer to the original idea, we here propose a new set of axioms expressing the essence of the two-sided ideal approach and discuss it in the context. The subalgebra $\mathcal{B} \subset H \otimes H$ in new axioms is named the **balancing subalgebra** and our new version of Hopf algebroid over noncommutative base algebra A is named a Hopf A -algebroid with balancing subalgebra \mathcal{B} .

In Theorem 3.4 we compare Hopf A -algebroid with a balancing subalgebra to the Hopf algebroids of Lu instead to symmetric Hopf algebroids from [2, 5, 21]. This is because Lu's axioms for the antipode map involve a choice of certain map (section γ below) which is close in spirit to the choice of balancing subalgebra in our axiomatics and in the informal approach of Meljanac. Our main result is Theorem 4.12 (based on nontrivial Lemmas 4.10, 4.11) stating that every scalar extension Hopf algebroid can be cast into a Hopf algebroid with a suitable choice of balancing subalgebra \mathcal{B} .

In Section 5, we worked out the observation of Böhm that each weak Hopf algebra gives rise not only to Hopf algebroids in the senses of Lu [16] and Böhm [1, 5], but also to a Hopf algebroid with a balancing subalgebra.

Throughout the paper, \mathbf{k} is a commutative ground field, and the unadorned tensor symbol \otimes between symbols for \mathbf{k} -vector spaces, is meant over \mathbf{k} , however we often use $\otimes_{\mathbf{k}}$ for emphasis. When used among elements in calculations, symbol \otimes is interpreted from the context.

2 Bialgebroids over noncommutative base

The axioms of bialgebroids and Hopf algebroids over a noncommutative base algebra are far less obvious to formulate [2, 6, 16, 24, 31]. Let us detect a problem naively. For a commutative \mathbf{k} -algebra A , an A -bialgebra is a monoid (algebra) and a comonoid (coalgebra) in the same symmetric monoidal category, namely that of A -modules, with a compatibility condition utilizing the symmetry of the tensor product \otimes_A . For a noncommutative base algebra A over \mathbf{k} , the category of A -modules is not monoidal, so it is natural to try replacing it with the monoidal category of A -bimodules. However, the latter is

neither symmetric nor braided monoidal in general, so the usual compatibility condition between the comonoids and monoids makes no sense. Instead, it appears that the monoid and the comonoid part of the left A -bialgebroid structure live in different monoidal categories [2].

The monoid structure (H, μ, η) on H is in the monoidal category of $A \otimes A^{\text{op}}$ -bimodules; equivalently ([2], Lemma 2.2), (H, μ) is an associative \mathbf{k} -algebra and the unit map η is a morphism of \mathbf{k} -algebras $\eta : A \otimes_{\mathbf{k}} A^{\text{op}} \rightarrow H$ (we say that (H, μ, η) is an $A \otimes A^{\text{op}}$ -ring). The unit $\eta : A \otimes A^{\text{op}} \rightarrow H$ is usually described in terms of its left leg $\alpha := \eta(- \otimes 1_{A^{\text{op}}}) : A \rightarrow H$ and its right leg $\beta := \eta(1_A \otimes -) : A^{\text{op}} \rightarrow H$, also called the source and target maps respectively; then, their images commute because

$$\alpha(a)\beta(b) = \eta(a \otimes 1)\eta(1 \otimes b) = \eta(a \otimes b) = \eta(1 \otimes b)\eta(a \otimes 1) = \beta(b)\alpha(a). \quad (1)$$

An $A \otimes A^{\text{op}}$ -ring (H, μ, η) is described below as the equivalent datum (H, μ, α, β) .

On the other hand, the comonoid structure (H, Δ, ϵ) is in the monoidal category of A -bimodules (we say that H is an A -coring, [7]).

Definition 2.1. An $A \otimes A^{\text{op}}$ -ring (H, μ, α, β) and an A -coring (H, Δ, ϵ) with underlying A -bimodule H form a **left associative A -bialgebroid** $(H, \mu, \alpha, \beta, \Delta, \epsilon)$ if they satisfy the following compatibility conditions:

- (C1) The underlying A -bimodule structure of A -coring H is determined by the source and target maps (part of the $A \otimes A^{\text{op}}$ -ring structure): $a.h.b = \alpha(a)\beta(b)h$ for $a, b \in A$ and $h \in H$. This is indeed a bimodule by (1).
- (C2) Formula $\triangleright : \sum_{\lambda} h_{\lambda} \otimes a_{\lambda} \mapsto \epsilon(\sum_{\lambda} h_{\lambda} \alpha(a_{\lambda}))$ defines an action $H \otimes A \xrightarrow{\triangleright} A$.
- (C3) The map $H \otimes_{\mathbf{k}} (H \otimes_{\mathbf{k}} H) \rightarrow H \otimes_A H$ given by the rule $h \otimes (g \otimes f) \mapsto \Delta(h)(g \otimes f)$, factorizes through a map $H \otimes_{\mathbf{k}} (H \otimes_A H) \rightarrow H \otimes_A H$ which is moreover a unital action. Expression $\Delta(h)(g \otimes_{\mathbf{k}} f)$ is understood by taking any representative of $\Delta(h)$ in $H \otimes_{\mathbf{k}} H$, then multiplying in each tensor factor separately with $g \otimes f \in H \otimes_{\mathbf{k}} H$; the result is well defined in $H \otimes_A H$. Unitality of the action implies $\Delta(1) = 1 \otimes_A 1$.

By (C1), ϵ being a bimodule map means $\epsilon(\alpha(a)h) = a\epsilon(h)$ and $\epsilon(\beta(b)h) = \epsilon(h)b$. In particular, $\epsilon \circ \alpha = \epsilon \circ \beta = \text{id}_A$ and $\epsilon(1_H) = \epsilon(\alpha(1_A)) = 1_A$. Action in (C2) is unital by its defining formula and it extends the left regular action $A \otimes A \rightarrow A$ along the inclusion $A \otimes A \xrightarrow{\alpha \otimes A} H \otimes A$ by direct calculation, $\epsilon(\alpha(a)\alpha(b)) = \epsilon(\alpha(ab)) = ab$. Action axiom (C2), for acting on 1_A , implies

$\epsilon(hg) = \epsilon(hg\alpha(1_A)) = (hg) \triangleright 1_A = h \triangleright (g \triangleright 1_A) = \epsilon(h\alpha(\epsilon(g)))$. In particular, $\epsilon(h\beta(b)) = \epsilon(h\alpha((\epsilon \circ \beta)(b))) = \epsilon(h\alpha(b)) = h \triangleright b$. Action axiom on 1_A together with $a = \epsilon(\alpha(a)) = \alpha(a) \triangleright 1$, implies the general case, $h \triangleright (g \triangleright a) = h \triangleright (g \triangleright (\alpha(a) \triangleright 1)) = h \triangleright ((g\alpha(a)) \triangleright 1) = (hg\alpha(a)) \triangleright 1 = (hg) \triangleright (\alpha(a) \triangleright 1) = (hg) \triangleright a$.

From (C1) and $\Delta(1_H) = 1_H \otimes_A 1_H$, Δ being an A -bimodule map implies $\Delta(\alpha(a)) = \Delta(\alpha(a)1_A) = \alpha(a) \otimes_A 1_H$ and $\Delta(\beta(b)) = 1_H \otimes_A \beta(b)$. It follows that $\Delta(h\alpha(a)) = \Delta(h)(\alpha(a) \otimes_A 1) = h_{(1)}\alpha(a) \otimes_A h_{(2)}$ and $\Delta(h\beta(a)) = h_{(1)} \otimes_A h_{(2)}\beta(a)$. Applying the counit axiom we obtain, for all $h \in H$ and $a \in A$,

$$h\alpha(a) = \alpha(\epsilon(h_{(1)}\alpha(a)))h_{(2)} = \alpha(h_{(1)} \triangleright a)h_{(2)}, \quad (2)$$

$$h\beta(a) = \beta(\epsilon(h_{(2)}\beta(a)))h_{(1)} = \beta(h_{(2)} \triangleright a)h_{(1)}. \quad (3)$$

The condition (C1) implies that the kernel $I_A = \text{Ker } \pi$ of the projection map

$$\pi : H \otimes_{\mathbf{k}} H \rightarrow H \otimes_A H$$

of H -bimodules is a **right ideal** in the algebra $H \otimes_{\mathbf{k}} H$, generated by the set of elements of the form $\beta(a) \otimes 1 - 1 \otimes \alpha(a)$:

$$I_A = \{ \beta(a) \otimes_{\mathbf{k}} 1 - 1 \otimes_{\mathbf{k}} \alpha(a) \mid a \in A \} \cdot (H \otimes_{\mathbf{k}} H) \quad (4)$$

Regarding that I_A is a right ideal, and $\Delta(h)$ is defined up to I_A , the map $H \otimes_{\mathbf{k}} (H \otimes_{\mathbf{k}} H) \rightarrow H \otimes_A H$ in (C3) is well defined. Its factorization through a map $H \otimes_{\mathbf{k}} (H \otimes_A H) \rightarrow H \otimes_A H$ exists iff for every h , $\Delta(h)I_A \subset I_A$, which is clearly equivalent to $\Delta(h)(\beta(a) \otimes 1 - 1 \otimes \alpha(a)) \in I_A$ for all $a \in A$. Hence $\Delta(h)$ must belong to a set

$$H \times_A H = \left\{ \sum_i b_i \otimes b'_i \in H \otimes_A H \mid \sum_i b_i \otimes b'_i \alpha(a) = \sum_i b_i \beta(a) \otimes b'_i, \forall a \in A \right\},$$

which is a A -subbimodule of $H \otimes_A H$ ([29, 30]), called the Takeuchi product [6, 2]. In these terms, the factorization property from (C3) is equivalent to the property $\text{Im } \Delta \subset H \times_A H$. Another part of (C3), stating that the induced map is an action, may also be expressed in terms of Takeuchi product. A direct check shows that the preimage $\pi^{-1}(H \times_A H) = \{ \sum_i b_i \otimes_{\mathbf{k}} b'_i, \sum_i b_i \otimes b'_i \alpha(a) - b_i \beta(a) \otimes b'_i \in I_A \}$ is closed under multiplication; in fact a unital subalgebra. The right ideal I_A is spanned by the elements of the form $\beta(a)d \otimes d' - d \otimes \alpha(a)d'$ and if $\sum_i b_i \otimes b'_i \in H \times_A H$ then

$$\left(\sum_i b_i \otimes b'_i \right) (\beta(a)d \otimes d' - d \otimes \alpha(a)d') = \left(\sum_i b_i \beta(a) \otimes b'_i - b_i \otimes b'_i \alpha(a) \right) (d \otimes d')$$

and the right hand side clearly belongs to I_A . Thus, $I_A \cap \pi^{-1}(H \times_A H)$ is not only a right ideal but a two sided ideal of $\pi^{-1}(H \times_A H)$ showing that $H \times_A H \cong \pi^{-1}(H \times_A H)/(I_A \cap \pi^{-1}(H \times_A H))$ is, unlike $H \otimes_A H$, an associative algebra with respect to the componentwise product. The componentwise rule is not well defined in $H \otimes_A H$ because it may depend on the chosen representatives in $H \otimes_{\mathbf{k}} H$; this is because I_A is only a *right* ideal in general.

This discussion shows that (C3) is equivalent to the joint assertion of the following two requirements:

$$(C3a) \quad \text{Im } \Delta \subset H \times_A H,$$

$$(C3b) \quad \Delta \text{ as a map from } H \text{ to } H \times_A H \text{ is a homomorphism of algebras.}$$

Of course, (C3b) makes sense only because of (C3a). Observe now a commutative diagram of A -bimodules:

$$\begin{array}{ccccc} & & \pi^{-1}(H \times_A H) & \longrightarrow & H \otimes_{\mathbf{k}} H & (5) \\ & & \downarrow \pi|_{\pi^{-1}(H \times_A H)} & & \downarrow \pi & \\ H & \xrightarrow{\Delta} & H \times_A H & \longrightarrow & H \otimes_A H & \end{array}$$

All arrows except those into $H \otimes_A H$ are also homomorphisms of algebras.

The equation $\sum_i b_i \otimes b'_i \alpha(a) = \sum_i b_i \beta(a) \otimes b'_i$ for elements in $H \otimes_A H$ is demanded in the quotient, hence it holds only up to elements in I_A ; if we take the same equation strictly in $H \otimes_{\mathbf{k}} H$ to cut some subalgebra (actually a left ideal) $H \tilde{\times} H \subset H \otimes_{\mathbf{k}} H$, then the projection $\pi|_{H \tilde{\times} H}$ maps this subalgebra within $H \times_A H$, but is not necessarily onto. In a categorical language, $H \times_A H$ is an end (kind of a categorical limit) of a coend (kind of a colimit), not the other way around. However, Meljanac in his examples takes some other subalgebra $\mathcal{B} \subset H \otimes_{\mathbf{k}} H$ (not a universal construction) first and then passes to the quotient by $\pi|_{\mathcal{B}}$ (hence a colimit), with a result which is still an algebra (different from $H \times_A H$). To achieve this, he needs that

$$(C3MI) \quad I_A \cap \mathcal{B} \text{ is a two-sided ideal in } \mathcal{B}.$$

In addition, he (implicitly) requires

$$(C3Ma) \quad \text{Im } \Delta \subset \mathcal{B}/(I_A \cap \mathcal{B}),$$

$$(C3Mb) \quad \Delta \text{ as a map from } H \text{ to } \mathcal{B}/(I_A \cap \mathcal{B}) \text{ is a homomorphism of algebras.}$$

Definition 2.2. A left A -bialgebroid with balancing subalgebra \mathcal{B} comprises an $A \otimes_{\mathbf{k}} A^{\text{op}}$ -ring (H, m, α, β) and an A -coring (H, Δ, ϵ) with the same underlying A -bimodule H and satisfying (C1) and (C2), and a (not necessarily unital) subalgebra $\mathcal{B} \subset H \otimes_{\mathbf{k}} H$ satisfying (C3MI), (C3Ma) and (C3Mb). \mathcal{B} is called the **balancing subalgebra**.

A left A -bialgebroid with balancing subalgebra \mathcal{B} is not necessarily a left associative A -bialgebroid in the standard sense, because (C3) does not always hold. However, if \mathcal{B} is the preimage $\pi^{-1}(H \times_A H)$ of the Takeuchi product under the natural projection π then (C3) follows. Conversely, given a left associative A -bialgebroid $H = (H, m, \alpha, \beta, \Delta, \epsilon)$, we have presented above that $\pi^{-1}(H \times_A H) \cap I_A$ is a two sided ideal of the subalgebra $\pi^{-1}(H \times_A H)$. Therefore, (C3) implies that it is a balancing subalgebra called the **trivial balancing subalgebra** of $H \otimes_{\mathbf{k}} H$. It follows that in a left associative A -bialgebroid any subalgebra of $\pi^{-1}(H \times_A H)$ containing $\pi^{-1}(\text{Im } \Delta)$ is also balancing. Therefore, on the level of bialgebroids, balancing algebras are interesting only when either we can not determine whether $\text{Im } \Delta \subset H \times_A H$, or when it does not hold but there is a balancing subalgebra, which is in the latter case automatically not a subalgebra of Takeuchi product $H \times_A H$. However, for Hopf algebroids, as we shall see below, balancing subalgebras provide more flexible approach to introducing the antipode than using Lu's section, while it is technically more compact (less structure and axioms) than Böhms's symmetric Hopf algebroids.

Observe a commutative diagram of A -bimodules where all arrows except those into $H \otimes_A H$ are homomorphisms of algebras:

$$\begin{array}{ccccc}
 & & \mathcal{B} & \longrightarrow & H \otimes_{\mathbf{k}} H & & (6) \\
 & & \downarrow \pi|_{\mathcal{B}} & & \downarrow \pi & & \\
 H & \xrightarrow{\Delta} & \mathcal{B}/(I_A \cap \mathcal{B}) & \longrightarrow & H \otimes_A H & &
 \end{array}$$

Proposition 2.3. Let $(H, \mu, \alpha, \beta, \Delta, \epsilon)$ be the data defining an $A \otimes A^{\text{op}}$ -ring and A -coring satisfying (C1), (C2) and (C3a). Suppose there exist a subalgebra $\mathcal{B} \subset H \otimes_{\mathbf{k}} H$ such that (C3MI) and (C3Ma) hold. Then (C3b) iff (CM3b). In other words, these data define an A -bialgebroid with balancing subalgebra \mathcal{B} iff they (without \mathcal{B}) define an associative A -bialgebroid.

Proof. This is a rather simple observation: (C3a) and (C3Ma) together imply that $\text{Im } \Delta \subset \frac{\mathcal{B}}{I_A \cap \mathcal{B}} \cap H \times_A H$ which has the structure of a subalgebra of

$\mathcal{B}/(I_A \cap \mathcal{B})$ and also of $H \times_A H$; the multiplications in $\mathcal{B}/(I_A \cap \mathcal{B})$ and in $H \times_A H$ are both defined componentwise, hence they are equal on the intersection. If we assume (C3b), then algebra map $\Delta : H \rightarrow H \times_A H$ corestricts to algebra map $H \rightarrow \frac{\mathcal{B}}{I_A \cap \mathcal{B}} \cap H \times_A H$ which postcomposed with inclusion of algebras into $\mathcal{B}/(I_A \cap \mathcal{B})$ is again an algebra map, hence $\Delta : H \rightarrow \mathcal{B}/(I_A \cap \mathcal{B})$ is also an algebra map, hence (C3Mb) holds. Likewise we infer (C3b) from (C3Mb). \square

3 Hopf algebroids: antipode

Definition 3.1. A **Hopf A -algebroid** in the sense of J-L. Lu [16] (or a Lu-Hopf algebroid) is a left associative A -bialgebroid $(H, \mu, \alpha, \beta, \Delta, \epsilon)$ with an antipode map $\tau : H \rightarrow H$, which is a linear antiautomorphism satisfying

$$\tau\beta = \alpha \tag{7}$$

$$\mu(\text{id} \otimes_{\mathbf{k}} \tau)\gamma\Delta = \alpha\epsilon \tag{8}$$

$$\mu(\tau \otimes_A \text{id})\Delta = \beta\epsilon\tau \tag{9}$$

for some linear section $\gamma : H \otimes_A H \rightarrow H \otimes H$ of the projection $\pi : H \otimes H \rightarrow H \otimes_A H$.

The reason for introducing γ in (8) is the fact that $\mu(\text{id} \otimes_A \tau)\Delta$ is not a well defined map because $\mu(\text{id} \otimes_{\mathbf{k}} \tau)(I_A) \neq 0$ in general. Indeed, I_A is a linear span of the set of all elements of the form $\beta(a)h \otimes k - h \otimes \alpha(a)k$, where $a \in A$ and $h, k \in H$, and $\mu(\text{id} \otimes \tau)(\beta(a)h \otimes k - h \otimes \alpha(a)k) = \beta(a)h\tau(k) - h\tau(k)\tau(\alpha(a))$ which can be nonzero in general. No such problems occur with (9) because

$$\mu(\tau \otimes \text{id})(\beta(a)h \otimes k - h \otimes \alpha(a)k) = \tau(h)\tau(\beta(a))k - \tau(h)\alpha(a)k \stackrel{(7)}{=} 0.$$

Definition 3.2. A **Hopf A -algebroid with balancing subalgebra \mathcal{B}** is a left A -bialgebroid $(H, \mu, \alpha, \beta, \Delta, \epsilon)$ with balancing subalgebra \mathcal{B} together with an algebra antihomomorphism $\tau : H \rightarrow H$, called the **antipode**, such that

$$\mu(\text{id} \otimes_{\mathbf{k}} \tau)(I_A \cap \mathcal{B}) = 0 \tag{10}$$

$$\tau\beta = \alpha \tag{11}$$

$$\mu(\text{id} \otimes_A \tau)\Delta = \alpha\epsilon \tag{12}$$

$$\mu(\tau \otimes_A \text{id})\Delta = \beta\epsilon\tau \tag{13}$$

Two equations are the same as in Definition 3.1: (11) is identical to (7) and (13) to (9). Equation (12) now makes sense because of (10). There is no more need for a choice of a section γ . Choice of the subalgebra \mathcal{B} which accomplishes the same.

Remark 3.3. The map $\mu(\text{id} \otimes_{\mathbf{k}} \tau) : h \otimes h' \mapsto h\tau(h')$ is \mathbf{k} -linear, but neither a homomorphism nor an antihomomorphism of algebras. Hence, it is not sufficient to check (10) on the algebra generators of $I_A \cap \mathcal{B}$, and *a fortiori*, on its generators as an ideal in \mathcal{B} . This will be the central difficulty in Section 4.

Theorem 3.4. If a Hopf algebroid with a balancing subalgebra satisfies (C3a) then it admits a (possibly nonunique) structure of Lu-Hopf algebroid.

Proof. Choose a vector space splitting of $H \otimes_A H$ into $\text{Im } \Delta$ and a linear complement; for γ take any linear section of the projection $\pi : H \otimes H \rightarrow H \otimes_A H$ such that values $\gamma(p)$ over points $p \in \text{Im } \Delta$ are in \mathcal{B} (this can be done by (C3Ma)) and on the linear complement prescribe any linear choice for γ , for instance 0. Condition (C3b) holds by (CM3b) and Proposition 2.3. Then $\mu(\text{id} \otimes_{\mathbf{k}} \tau)\gamma\Delta(h) = \mu(\text{id} \otimes_A \tau)\Delta(h)$ as the right hand side is defined by choosing any representative of $\Delta(h)$ in $H \otimes_{\mathbf{k}} H$ and evaluating $\mu(\text{id} \otimes_{\mathbf{k}} \tau)$. Thus (8) holds, and other conditions on the antipode become identities. \square

As a corollary, Example 4.9 in [5] of Böhm's Hopf algebroid which does not carry Lu-Hopf structure is not a Hopf algebroid with a balancing subalgebra either. We do not know if for any Lu-Hopf algebroid there is a balancing subalgebra (containing the image of γ), but for most prominent classes of Lu-Hopf algebroids we provide recipes for a balancing subalgebra. Notably, in Section 4, we show that any scalar extension of a Hopf algebra by a braided commutative Yetter-Drinfeld module algebra is a Hopf algebroid with a balancing subalgebra given by specific generators. Clearly, every Hopf algebroid over a commutative base is also in both classes.

Lu [16] exhibits an example which she calls a coarse Hopf algebroid, nowadays often called a **minimal Hopf algebroid**. Given a unital associative algebra, the tensor product algebra $A \otimes A^{op}$ carries the structure of Hopf algebroid with source map $\alpha(a) = a \otimes 1$, target map $\beta(b) = 1 \otimes b$, comultiplication $\Delta(a \otimes b) = (a \otimes 1) \otimes_A (1 \otimes b)$, counit $\epsilon(a \otimes b) = ab$ and antipode $\tau(a \otimes b) = b \otimes a$. It has the balancing subalgebra $\mathcal{B} = (A \otimes \mathbf{k}) \otimes_A (\mathbf{k} \otimes A^{op})$.

4 Scalar extension Hopf algebroids

4.1 Scalar extensions, elements $R(a)$ and section γ

Given any associative \mathbf{k} -algebra A equipped with a left Hopf action \blacktriangleright of a bialgebra T , vector space $A \otimes T$ carries a structure of a unital associative \mathbf{k} -algebra with multiplication bilinearly extending formula $(a \otimes t)(a' \otimes t') = \sum a(t_{(1)} \blacktriangleright a') \otimes t_{(2)}t'$ and with unit $1_A \otimes 1_T$. This algebra is called the **smashed product algebra** ([22]) and denoted $A\sharp H$. It comes along with canonical algebra monomorphisms $A \cong A \otimes \mathbf{k} \hookrightarrow A\sharp T$ and $T \cong \mathbf{k} \otimes T \hookrightarrow A\sharp T$. The images of these two embeddings will be denoted $A\sharp 1$ and $1\sharp T$.

Let T be a Hopf \mathbf{k} -algebra with a comultiplication $\Delta_T : T \rightarrow T \otimes_{\mathbf{k}} T$ and a bijective antipode S . A **braided-commutative left-right Yetter-Drinfeld T -module algebra** A is a unital associative algebra with a left T -action $\blacktriangleright : T \otimes A \rightarrow A$ which is Hopf in the sense that

$$t \blacktriangleright (ab) = (t_{(1)} \blacktriangleright a)(t_{(2)} \blacktriangleright b), \quad t \blacktriangleright 1_A = \epsilon(t)1_A,$$

and a right T -coaction $a \mapsto a_{[0]} \otimes a_{[1]}$ which is morphism of algebras $A \rightarrow A \otimes T^{\text{op}}$ (see [6]), satisfying the left-right **Yetter-Drinfeld condition**

$$(t_{(1)} \blacktriangleright a_{[0]}) \otimes (t_{(2)}a_{[1]}) = (t_{(2)} \blacktriangleright a)_{[0]} \otimes (t_{(2)} \blacktriangleright a)_{[1]}t_{(1)}, \quad \forall t \in T, \forall a \in A. \quad (14)$$

and the **braided commutativity**

$$x_{[0]}(x_{[1]} \blacktriangleright a) = ax, \quad \text{for } \forall a, x \in A. \quad (15)$$

Lemma 4.1. Braided commutativity condition is equivalent to the condition

$$(Sd_{[1]} \blacktriangleright a)d_{[0]} = da, \quad \forall d, a \in A. \quad (16)$$

Proof. This is rather standard. Assuming braided commutativity (15),

$$\begin{aligned} da &= d_{[0]}((d_{[1]}Sd_{[2]} \blacktriangleright a) \\ &= d_{[0][0]}(d_{[0][1]} \blacktriangleright ((Sd_{[1]} \blacktriangleright a)) \\ &= ((Sd_{[1]} \blacktriangleright a)d_{[0]}). \end{aligned}$$

In other direction, assuming (16)

$$\begin{aligned} x_{[0]}(x_{[1]} \blacktriangleright a) &= (Sx_{[0][1]} \blacktriangleright (x_{[1]} \blacktriangleright a))x_{[0][0]} \\ &= (Sx_{[1][1]} \blacktriangleright (x_{[1][2]} \blacktriangleright a))x_{[0]} \\ &= (\epsilon(x_{[1]})1_T \blacktriangleright a)x_{[0]} \\ &= ax. \end{aligned}$$

□

If A is in fact a braided commutative Yetter-Drinfeld algebra over T then the smash product $H = A\sharp T$ is a total algebra of a Hopf A -algebroid called a scalar extension Hopf algebroid. For a Lu-Hopf algebroid this is proven in [6], modifying slightly an earlier construction of Lu [16], Section 5, where instead of Yetter-Drinfeld modules closely related modules over Drinfeld double $D(H)$ are considered. Both works entail a circular argument in the proof that the antipode of the algebroid is an antihomomorphism, checking the property on rather trivial case of binary products of generators of the form $a\sharp 1$ and $1\sharp t$ only. Antihomomorphism property for products of general elements is checked in [27], assuming that S is bijective. Lu-Brzeziński-Militaru construction has been adapted to the symmetric Hopf algebroids of Böhm [1, 2, 5, 27].

The A -bimodule structure of $A\sharp H$ is determined by the source and target maps

$$\alpha(a) = a\sharp 1, \quad \beta(a) = a_{[0]}\sharp a_{[1]}, \quad (17)$$

and the comonoid structure of $A\sharp H$ is given by

$$\Delta_{A\sharp T}(a\sharp t) = (a\sharp t_{(1)}) \otimes_A (1\sharp t_{(2)}), \quad \epsilon_{A\sharp T}(a\sharp t) = a\epsilon_T(t). \quad (18)$$

Finally, the antipode τ for the Lu-Hopf algebroid is (cf. [6, 16])

$$\tau(a\sharp t) = S(t)S^2(a_{[1]}) \cdot a_{[0]}. \quad (19)$$

We often identify $A\sharp 1 = \text{Im } \alpha$ with A and $1\sharp T$ with T . By Definition 4 the right ideal $I_A \subset H \otimes_A H$ is generated by the set of all elements of the form

$$I(a) := \beta(a) \otimes 1 - 1 \otimes \alpha(a) = a_{[0]}\sharp a_{[1]} \otimes 1 - 1 \otimes a, \quad a \in A. \quad (20)$$

There is also another set of generators $R(a)$ of I_A , more convenient for our analysis below.

Proposition 4.2. In the case of scalar extension $H = A\sharp T$, right ideal $I_A \in H \times_A H$ is generated by the set of all elements of the form

$$R(a) := a \otimes 1 - Sa_{[1]} \otimes a_{[0]}, \quad a \in A. \quad (21)$$

Proof. In the notation (20),

$$I(a) = (a_{[0]}\sharp 1 - Sa_{[0][1]} \otimes a_{[0][0]})(a_{[1]} \otimes 1) = R(a_{[0]})(a_{[1]} \otimes 1).$$

Notice that $a_{[0]} \in A$. On the other hand,

$$R(a) = (a_{[0][0]}\sharp a_{[0][1]} \otimes 1 - 1 \otimes a_{[0]})(Sa_{[1]} \otimes 1) = I(a_{[0]})(Sa_{[1]} \otimes 1).$$

Therefore, the right ideal generated by $\{I(a) \mid a \in A\}$ and the right ideal generated by all $R(a)$ coincide. \square

Given a linear basis $\hat{x}_1, \dots, \hat{x}_{\dim \mathfrak{g}}$ of a finite dimensional Lie algebra \mathfrak{g} , references [14, 15] introduce elements R_μ ($\mu = 1, \dots, \dim \mathfrak{g}$) in a related example of a formally completed version of a scalar extension Hopf $U(\mathfrak{g})$ -algebroid. In Subsection 4.4, we observe that $R_\mu = R(\hat{x}_\mu)$.

Lu's section for scalar extension bialgebroids. For any scalar extension $H = A\sharp T$, J-H. Lu [16] exhibits a section $\gamma : H \otimes_A H \rightarrow H \otimes_{\mathbf{k}} H$ by the unique \mathbf{k} -linear extension of the formula

$$\gamma : h \otimes_A (a\sharp t) \mapsto \beta(a)h \otimes_{\mathbf{k}} (1\sharp t), \quad h \in H, a \in A, t \in T. \quad (22)$$

Section γ is well defined by (22), namely on the generators

$$\beta(b)h \otimes (c\sharp t) - h \otimes \alpha(b)(c\sharp t)$$

of the ideal I_A the formula evaluates to $\beta(c)\beta(b)h \otimes (1\sharp t) - \beta(bc)h \otimes (1\sharp t) = 0$. Linear map γ is a section of the projection $\pi : H \otimes_{\mathbf{k}} H \rightarrow H \otimes_A H$ because $h \otimes_A (a\sharp t) = h \otimes_A \alpha(a)(1\sharp t) = \beta(a)h \otimes_A (1\sharp t) = (\pi \circ \gamma)(h \otimes_A (a\sharp t))$.

In particular, formula (22) gives

$$(\gamma \circ \Delta)(a\sharp t) = (a\sharp t_{(1)}) \otimes_{\mathbf{k}} (1\sharp t_{(2)}). \quad (23)$$

4.2 Subalgebra $W \subset H \otimes H$ where $H = A\sharp T$ is a scalar extension Hopf A -algebroid

Notation 4.3. Let T be a Hopf algebra and A a braided commutative algebra in the category of left-right Yetter-Drinfeld T -modules. For a scalar extension $A\sharp T$ let $W \subset (A\sharp T) \otimes (A\sharp T)$ be the smallest unital subalgebra such that all elements of the form $a \otimes 1$ and all elements of the form $Sa_{[1]} \otimes a_{[0]}$ (where $a \in A \cong A\sharp 1 \subset A\sharp T$) are in W . Let W^+ be the two sided ideal in W generated by all elements of the form $R(a) = a \otimes 1 - Sa_{[1]} \otimes a_{[0]}$ where $a \in A$ (compare (21)).

Let $W_0^+ \subset W$ be the linear subspace of W spanned by all elements of the form $(x \otimes 1 - Sx_{[1]} \otimes x_{[0]})(x' \otimes 1)$ where $x, x' \in A \cong A\sharp 1$.

We now formulate two lemmas which together imply $W_0^+ = W^+$.

Lemma 4.4. For $x, z \in A$ we have $(x \otimes 1)(z \otimes 1 - Sz_{[1]} \otimes z_{[0]}) \in W_0^+$.

Proof. Multiplying out, and using $xS(t) = S(t_{(1)})t_{(2)}xS(t_{(3)}) = S(t_{(1)})(t_{(2)} \blacktriangleright x)$ for $x \in A, t \in T$, we obtain

$$\begin{aligned} xz \otimes 1 - xS(z_{[1]}) \otimes z_{[0]} &= xz \otimes 1 - S(z_{[1]})(z_{[2]} \blacktriangleright x) \otimes z_{[0]} \\ &= \text{by braided commutativity} \\ &= z_{[0]}(z_{[1]} \blacktriangleright x) \otimes 1 - S(z_{[1]})(z_{[2]} \blacktriangleright x) \otimes z_{[0]} \\ &= (z_{[0]} \otimes 1 - S(z_{[0][1]})) \otimes z_{[0][0]}(z_{[2]} \blacktriangleright x \otimes 1) \end{aligned}$$

and the right hand side is clearly in W_0^+ as claimed. \square

Lemma 4.5. $R(x)R(z) = (x \otimes 1 - Sx_{[1]} \otimes x_{[0]})(z \otimes 1 - Sz_{[1]} \otimes z_{[0]}) \in W_0^+$.

Proof. Since $x \mapsto x_{[1]} \otimes x_{[0]}$ is a morphism of algebras $A \rightarrow T^{\text{op}} \otimes_{\mathbf{k}} A$ and $T \otimes_{\mathbf{k}} A \hookrightarrow A \sharp T \otimes_{\mathbf{k}} A \sharp T = H \otimes_{\mathbf{k}} H$ inclusion of algebras, we conclude that $x \mapsto Sx_{[1]} \otimes x_{[0]}$ is a morphism of algebras $A \rightarrow H \otimes_{\mathbf{k}} H$ (with respect to the componentwise multiplication in $H \otimes_{\mathbf{k}} H$). Therefore,

$$\begin{aligned} &(x \otimes 1 - Sx_{[1]} \otimes x_{[0]})(z \otimes 1 - Sz_{[1]} \otimes z_{[0]}) = \\ &= (x \otimes 1 - Sx_{[1]} \otimes x_{[0]})(z \otimes 1) + xSz_{[1]} \otimes z_{[0]} - xz \otimes 1 + xz \otimes 1 - S(xz)_{[1]} \otimes (xz)_{[0]} \\ &= (x \otimes 1 - Sx_{[1]} \otimes x_{[0]})(z \otimes 1) + (-x \otimes 1)(z \otimes 1 - Sz_{[1]} \otimes z_{[0]}) + (xz \otimes 1 - S(xz)_{[1]} \otimes (xz)_{[0]}). \end{aligned}$$

The first and the third summands on the right hand side are manifestly in W_0^+ while for the second summand we apply Lemma 4.4. \square

Corollary 4.6. (i) $\forall x, z \in A, (Sx_{[1]} \otimes x_{[0]})(z \otimes 1 - Sz_{[1]} \otimes z_{[0]}) \in W_0^+$,

(ii) W_0^+ is a two-sided ideal in W ,

(iii) $W^+ = W_0^+$.

Proof. (i) follows by subtracting the expression $(x \otimes 1 - Sx_{[1]} \otimes x_{[0]})(z \otimes 1 - Sz_{[1]} \otimes z_{[0]})$ which is in W_0^+ by Lemma 4.5 from the expression $(x \otimes 1)(z \otimes 1 - Sz_{[1]} \otimes z_{[0]})$ which is in W_0^+ by Lemma 4.4.

(ii) W_0^+ is a right ideal: by its definition, we can multiply by $z \otimes 1$ from the right; this together with the assertion of Lemma 4.5 implies that we can also multiply by $Sz_{[1]} \otimes z_{[0]}$ from the right.

(ii) W_0^+ is a left ideal: using Lemma 4.4, $(x \otimes 1)R(z)(x' \otimes 1) \in W_0^+(x' \otimes 1)$ which is in W_0^+ because it is a right ideal. Combining with Lemma 4.5 we also conclude that $(Sx_{[1]} \otimes x_{[0]})R(z)(x \otimes 1) \in W_0^+$.

For (iii) notice first that, trivially, $W_0^+ \subset W^+$. For the converse inclusion, $W^+ \subset W_0^+$, it is sufficient to observe that $R(a) \in W_0^+$, apply (ii) and the definition of W^+ . \square

Theorem 4.7. $\mu(id \otimes_{\mathbf{k}} \tau)W^+ = \{0\}$.

Proof. By Corollary (iii) $W^+ = W_0^+$, which is the span of the elements of the form

$$(x \otimes 1 - Sx_{[1]} \otimes x_{[0]})(z \otimes 1), \quad \text{where } x, z \in A.$$

Taking the standard formula for the antipode for the scalar extensions (19), $\tau(a\sharp t) = S(t)S^2(a_{[1]}) \cdot a_{[0]}$, we can now compute $\mu(id \otimes \tau)$ on such an element as

$$xz - S(x_{[2]})zS^2(x_{[1]})x_{[0]} = xz - ((Sx_{[1]}) \blacktriangleright z)x_{[0]} = 0.$$

by the braided commutativity. \square

4.3 Subalgebra \mathcal{B} and two-sided ideal $\mathcal{B}^+ \subset \mathcal{B}$

In this section, we want to show that every scalar extension Lu-Hopf algebroid $H = A\sharp T$ is also a Hopf algebroid with a (carefully chosen) balancing subalgebra \mathcal{B} .

Using the inclusion $T \otimes_{\mathbf{k}} T \hookrightarrow A\sharp T \otimes_{\mathbf{k}} A\sharp T$, we identify the image of the coproduct $\Delta_T : T \rightarrow T \otimes_{\mathbf{k}} T$ of the Hopf algebra T with a subalgebra of $H \otimes_{\mathbf{k}} H$ which will be denoted by $\Delta_T(T)$.

Definition 4.8. Subalgebra $\mathcal{B} \subset A\sharp T \otimes_{\mathbf{k}} A\sharp T$ is the subalgebra generated by W and $\Delta_T(T)$ or, equivalently, by the set

$$\{a \otimes 1, Sa_{[1]} \otimes a_{[0]} \mid a \in A\} \cup \Delta_T(T).$$

The elements of this set are called the **distinguished generators of \mathcal{B}** . Recall now elements $R(a) \in I_A \cap \mathcal{B}$ defined by formula (21). Let \mathcal{B}^+ be the two-sided ideal in \mathcal{B} generated by the subset

$$\{R(a) \mid a \in A\} = \{a \otimes 1 - Sa_{[1]} \otimes a_{[0]} \mid a \in A\} \subset \mathcal{B},$$

whose elements $R(a)$ are called the **distinguished generators of \mathcal{B}^+** .

Theorem 4.9. (i) $\mathcal{B}^+ = I_A \cap \mathcal{B}$.

(ii) (C3MI) holds: $I_A \cap \mathcal{B}$ is a two-sided ideal in \mathcal{B} .

(iii) (C3Ma) holds: $\text{Im } \Delta \subset \mathcal{B}/(I_A \cap \mathcal{B})$

(iv) The scalar extension is a bialgebroid with the balancing subalgebra \mathcal{B} .

(v) $\mathcal{B} \subset \pi^{-1}(H \times_A H)$.

(vi) Inclusion from (v) induces an inclusion of algebras $\mathcal{B}/\mathcal{B}_+ \hookrightarrow H \times_A H$ whose image is $\Delta_L(A\sharp T) \subset A\sharp T \otimes_A A\sharp T$. On generators this isomorphism onto the image is given by \mathbf{k} -linear extension of the correspondence $a \otimes 1 \mapsto a\sharp 1 \otimes_A 1$, $Sa_{[1]} \otimes a_{[0]} \mapsto a\sharp 1 \otimes_A 1$ for $a \in A$, and $\Delta_T(t) = t_{(1)} \otimes t_{(2)} \mapsto 1\sharp t_{(1)} \otimes_A 1\sharp t_{(2)}$ for $t \in T$.

Proof. (i) follows immediately from Definition 4.8 for \mathcal{B}^+ and Proposition 4.2.

(ii) follows from (i) and the definition of \mathcal{B}^+ . (iii) is an immediate check knowing the generators and (i).

For (iv) use (ii), (iii), Proposition 2.3 and the fact that the (C3b) is known for scalar extensions [6, 16].

For (v) we have two proofs. One is to check for each distinguished generator separately that it belongs to $\pi^{-1}(H \times_A H)$. Another is to write $I(a)$ in terms of $R(a)$, use that $R(a) \in I_A \cap \mathcal{B}$ and hence $bR(a) \in b\mathcal{B}^+ \subset \mathcal{B}^+$ because $R(a) \in \mathcal{B}^+$ and the latter is a two-sided ideal.

(vi) Clearly, $a \otimes 1 - Sa_{[1]} \otimes a_{[0]} = R(a) \in \mathcal{B} \cap I_A \mapsto I_A$, hence the values on $a \otimes 1$ and $Sa_{[1]} \otimes a_{[0]}$ are the same. \square

Lemma 4.10. Let $\sum_{\rho_i} K_i^{\rho_i} \otimes L_i^{\rho_i} \in \{x \otimes 1, Sx_{[1]} \otimes x_{[0]} \mid x \in A\} \cup \{f_{(1)} \otimes f_{(2)} \mid f \in T\}$ be a distinguished generator. Then for any $a \in A$,

$$\sum_{\rho_i} K_i^{\rho_i} \cdot (a\sharp 1) \cdot \tau(L_i^{\rho_i}) \in A\sharp 1.$$

Proof. We inspect the claim case by case as follows.

(a) If $\sum_{\rho_i} K_i^{\rho_i} \otimes L_i^{\rho_i} \in \{x \otimes 1, Sx_{[1]} \otimes x_{[0]} \mid x \in A\}$, then by (19)

$$\sum_{\rho_i} K_i^{\rho_i} \cdot (a\sharp 1) \cdot \tau(L_i^{\rho_i}) = \sum_{\rho_i} K_i^{\rho_i} \cdot a\sharp 1 \cdot S^2(L_{i[1]}^{\rho_i}) \cdot L_{i[0]}^{\rho_i}. \quad (24)$$

The dot product sign \cdot denotes here the multiplication in the smash product $A\sharp T$; A is identified there with $A\sharp 1$ and T with $1\sharp T$. There are now two subcases, (a1) and (a2).

(a1) For $\sum_{\rho_i} K_i^{\rho_i} \otimes L_i^{\rho_i} = x \otimes 1$, (24) equals $xa = xa\sharp 1$ which is in $A\sharp 1$.

(a2) For $\sum_{\rho_i} K_i^{\rho_i} \otimes L_i^{\rho_i} = Sx_{[1]} \otimes x_{[0]}$, (24) equals $Sx_{[2]} \cdot a \cdot S^2(x_{[1]}) \cdot x_{[0]} = ((Sx)_{[1]} \blacktriangleright a) \cdot (Sx)_{[2]} S((Sx)_{[3]}) \cdot x_{[0]} = ((Sx)_{[1]} \blacktriangleright a) \cdot x_{[0]} = xa$ which is in $A\sharp 1$.

(b) If $\sum_{\rho_i} K_i^{\rho_i} \otimes L_i^{\rho_i} = f_{(1)} \otimes f_{(2)}$, $f \in T$, then $\sum_{\rho_i} K_i^{\rho_i} \cdot a\sharp 1 \cdot \tau(L_i^{\rho_i}) = f_{(1)} \cdot a\sharp 1 \cdot S(f_{(2)}) = (f \blacktriangleright a)\sharp 1$ which is again in $A\sharp 1$.

Therefore the claim $\sum_{\rho_i} K_i^{\rho_i} \cdot a\sharp 1 \cdot \tau(L_i^{\rho_i}) \in A\sharp 1$ follows. \square

Lemma 4.11. Let U be a product of finitely many distinguished generators of \mathcal{B} . Then

$$\mu(\text{id} \otimes \tau)(U) \in A\sharp 1.$$

Proof. Let $U = (\sum_{\rho_1} K_1^{\rho_1} \otimes L_1^{\rho_1}) \cdots (\sum_{\rho_n} K_n^{\rho_n} \otimes L_n^{\rho_n})$. The antipode τ is an algebra antihomomorphism, hence

$$\mu(\text{id} \otimes \tau)(U) = \sum_{\rho_1, \dots, \rho_n} K_1^{\rho_1} K_2^{\rho_2} \cdots K_n^{\rho_n} \tau(L_n^{\rho_n}) \cdots \tau(L_1^{\rho_1}). \quad (25)$$

We prove that

$$\sum_{\rho_{n-p}, \dots, \rho_n} K_{n-p}^{\rho_{n-p}} \cdots K_n^{\rho_n} \tau(L_n^{\rho_n}) \cdots \tau(L_{n-p}^{\rho_{n-p}}) \in A\sharp 1,$$

by induction on p where $0 \leq p \leq n-1$, the assertion of the lemma is then the case $p = n-1$. For the base of induction, $p = 0$, this is the identity $\sum_{\rho_n} K_n^{\rho_n} \tau(L_n^{\rho_n}) \in A\sharp 1$ which follows from Lemma 4.10 when $a = 1$. The step of the induction on p is clearly also a special case of Lemma 4.10.

By (25) it follows that $\mu(\text{id} \otimes_{\mathbf{k}} \tau)(U) \in A\sharp 1$; in other words, $\mu(\text{id} \otimes_{\mathbf{k}} \tau)(U)$ is of the form $d\sharp 1$ for some $d \in A$. \square

Theorem 4.12. Let $\tau : A\sharp T \rightarrow A\sharp T$ given by the formula (19) be the antipode of the scalar extension as a Lu-Hopf algebroid. Then

(i) $\mu(\text{id} \otimes \tau)\mathcal{B}^+ = \{0\}$.

- (ii) τ makes the corresponding A -bialgebroid with a balancing subalgebra from Theorem 4.12 into a Hopf A -algebroid with a balancing subalgebra.

Proof. (i) A general element of \mathcal{B}^+ is a linear combination of the elements of the form

$$\prod_{j=1}^m \sum_{\sigma_j} M_j^{\sigma_j} \otimes N_j^{\sigma_j} \cdot (x \otimes 1 - Sx_{[1]} \otimes x_{[0]}) \cdot \prod_{k=1}^n \sum_{\rho_k} K_k^{\rho_k} \otimes L_k^{\rho_k}, \quad (26)$$

where $\sum_{\sigma_j} M_j^{\sigma_j} \otimes N_j^{\sigma_j}$, $\sum_{\rho_k} K_k^{\rho_k} \otimes L_k^{\rho_k}$ are some distinguished generators of \mathcal{B} , and the middle factor $x \otimes 1 - Sx_{[1]} \otimes x_{[0]}$ is some distinguished generator in \mathcal{B}^+ . Notice that $M_j^{\sigma_j}$, $N_j^{\sigma_j}$, $K_k^{\rho_k}$, $L_k^{\rho_k} \in A\#1 \cup 1\#T$ and $x \in A$.

By the linearity of $\mu(\text{id} \otimes \tau)$ it is sufficient to prove the assertion for one element of the form above. Rewrite (26) as,

$$\prod_{j,k} \sum_{\sigma_j, \rho_k} (M_j^{\sigma_j} \cdot x\#1 \cdot K_k^{\rho_k}) \otimes (N_j^{\sigma_j} \cdot L_k^{\rho_k}) - (M_j^{\sigma_j} \cdot 1\#Sx_{[1]} \cdot K_k^{\rho_k}) \otimes (N_j^{\sigma_j} \cdot x_{[0]}\#1 \cdot L_k^{\rho_k}). \quad (27)$$

By Lemma 4.11, we can define $d \in A$ by

$$d\#1 := K_1^{\rho_1} \cdots K_n^{\rho_n} \cdot \tau(L_n^{\rho_n}) \cdots \tau(L_1^{\rho_1}) \in A\#1. \quad (28)$$

We apply map $\mu(\text{id} \otimes \tau)$ to (27) and substitute (28). Notice that τ , being an antihomomorphism, reverses the order. Thus we need the vanishing of

$$\sum_{\sigma_1 \dots \sigma_m} M_1^{\sigma_1} \cdots M_m^{\sigma_m} (x\#1 \cdot d\#1 - 1\#Sx_{[1]} \cdot d\#1 \cdot \tau(x_{[0]}\#1)) \tau(N_m^{\sigma_m}) \cdots \tau(N_1^{\sigma_1}). \quad (29)$$

Therefore to finish the proof of the assertion (i) it is sufficient to show that for all x , $d \in A$ we have

$$x\#1 \cdot d\#1 = 1\#Sx_{[1]} \cdot d\#1 \cdot \tau(x_{[0]}\#1),$$

where, by the formula for the antipode (19), $\tau(x_{[0]}\#1) = S^2(x_{[1]}) \cdot x_{[0]}$.

This amounts to showing

$$xd\#1 = ((Sx)_{[1]} \blacktriangleright d) \# (Sx)_{[2]} S((Sx)_{[3]}) \cdot x_{[0]}\#1,$$

that is,

$$xd\#1 = ((Sx)_{[1]} \blacktriangleright d) x_{[0]}\#1,$$

which is by Lemma 4.1 an expression of the braided commutativity (15) for A . Therefore, (i) is proven.

For the part (ii), according to Theorem 4.9, part (iv), it remains only to check the axioms for the antipode. The antipode requirements (11) and (13) have the same content as in the case of Lu-Hopf algebroid definition hence they are true. Now, thanks to (ii) the left-hand side of the equation (12), that is, $\mu(\text{id} \otimes_A \tau)\Delta$, does not depend on the representatives of $\Delta(at) = (a\#t_{(1)}) \otimes (1\#t_{(2)})$ in $H \otimes_{\mathbf{k}} H$ where $a \in A$ and $t \in T$. So we need to show that

$$(a\#t_{(1)})(S^2(t_{(2)})_{[1]} \cdot (t_{(2)})_{[0]}) = a\#t,$$

which boils down to the same computation as for the Lu's choice of γ , see (23). Our result is stronger only in the sense that we allow for an additional freedom in \mathcal{B}_+ and that \mathcal{B} is a balancing subalgebra in the bialgebroid sense. \square

4.4 Comparison with the examples of Meljanac

S. Meljanac has devised his method [14, 15] to the study topological Hopf algebroids related to a Lie algebra \mathfrak{g}_κ with the universal enveloping algebra $U(\mathfrak{g}_\kappa)$ in physics literature called the κ -Minkowski space. Some extensions of this Hopf algebroid (including some symmetries into the algebra) from the point of view of Lu-Hopf algebroid have been studied in [17] in an informal style of mathematical physics and, in just slightly more mathematical treatment, in [18]. Works [14, 15] made it clear that their construction applies to any finite dimensional Lie algebra \mathfrak{g} in characteristic zero. We comment below on how our construction of \mathcal{B} relates to theirs for general \mathfrak{g} . As stated in the introduction, we neglect here the issues related to the adaptation of the notion of Hopf algebroid to the completed tensor products [21, 27].

We use the notation from [21]. Generators of the Lie algebra \mathfrak{g} are denoted $\hat{x}_1, \dots, \hat{x}_n$ with commutators $[\hat{x}_\mu, \hat{x}_\nu] = C_{\mu\nu}^\lambda \hat{x}_\lambda$ and the generators of the symmetric algebra of the dual $S(\mathfrak{g}^*)$ by $\partial^1, \dots, \partial^n$. The completed dual $T = \hat{S}(\mathfrak{g}^*)$ is a topological Hopf algebra, namely the coproduct $\Delta_T : \hat{S}(\mathfrak{g}^*) \rightarrow \hat{S}(\mathfrak{g}^*) \hat{\otimes} \hat{S}(\mathfrak{g}^*)$ may be identified with the dual (transpose) map to the multiplication $U(\mathfrak{g}) \rightarrow U(\mathfrak{g}) \otimes U(\mathfrak{g})$. The identification is made with help of the symmetrization map $S(\mathfrak{g}) \cong U(\mathfrak{g})$, which is an isomorphism of coalgebras [21] and its dual isomorphism of algebras $\hat{S}(\mathfrak{g}^*) \cong U(\mathfrak{g})^*$. Now $A = U(\mathfrak{g})$ becomes a braided-commutative Yetter-Drinfeld module algebra over T (internally in a symmetric category of filtered-cofiltered vector spaces, [27]). Regarding

that T is a formal dual of $U(\mathfrak{g})$, the Heisenberg double $U(\mathfrak{g})\sharp T$ can be either produced as a usual smash product where T is equipped with a right Hopf action of a Hopf algebra $U(\mathfrak{g})$ (as in [21], where however, for a bialgebroid, an additional completion on the smash product has been performed at a later stage) or a smash product in which T is understood as a topological Hopf algebra and $U(\mathfrak{g})$ is equipped with an internal left Hopf action of T . The latter interpretation supplies the internal version of a scalar extension Hopf algebroid [27].

If $R(a)$ is defined by (21), then $\hat{x}_{\mu[0]}\otimes\hat{x}_{\mu[1]} = \hat{x}_{\sigma}\otimes(\mathcal{O}^{-1})_{\mu}^{\sigma}$ where $\mathcal{O}_{\tau}^{\sigma}, (\mathcal{O}^{-1})_{\tau}^{\sigma}$ are certain elements in T (see [21] for the definition and properties), \mathcal{O}^{-1} is a matrix inverse of \mathcal{O} , $\Delta_T\mathcal{O}_{\nu}^{\mu} = \mathcal{O}_{\nu}^{\sigma}\otimes\mathcal{O}_{\sigma}^{\mu}$ and $S(\mathcal{O}^{-1})_{\nu}^{\mu} = \mathcal{O}_{\nu}^{\mu}$. Thus we obtain,

$$R(\hat{x}_{\mu}) = \hat{x}_{\mu} \otimes 1 - S\hat{x}_{\mu[1]} \otimes \hat{x}_{\mu[0]} = \hat{x}_{\mu} \otimes 1 - \mathcal{O}_{\mu}^{\sigma} \otimes \hat{x}_{\sigma}. \quad (30)$$

We observe that $R(\hat{x}_{\mu})$ is identical to R_{μ} of [15, 14]. Using identities $[\mathcal{O}_{\mu}^{\sigma}, \hat{x}_{\nu}] = C_{\mu\nu}^{\rho}\mathcal{O}_{\rho}^{\sigma}$ (formula (17) in [21]) and $C_{\mu\nu}^{\tau}\mathcal{O}_{\tau}^{\lambda} = C_{\rho\sigma}^{\lambda}\mathcal{O}_{\mu}^{\rho}\mathcal{O}_{\nu}^{\sigma}$ (formula (20) in [21]), we obtain

$$[R(\hat{x}_{\mu}), R(\hat{x}_{\nu})] = C_{\mu\nu}^{\sigma}R(\hat{x}_{\sigma}), \quad (31)$$

$$[\hat{x}_{\mu} \otimes 1, R(\hat{x}_{\nu})] = C_{\mu\nu}^{\lambda}R(\hat{x}_{\lambda}). \quad (32)$$

(generalizing Eq. (32),(33) in arXiv version of [14], (3.2),(3.3) in journal v.). Moreover, (31) is the only relation among R_{μ} -s, hence the subalgebra in $H \otimes_{\mathbf{k}} H$ generated by $\{R_{\mu} \mid \mu = 1, \dots, n\}$, is isomorphic to the universal enveloping algebra $U(\mathfrak{g})$, but with generators R_{μ} in place of \hat{x}_{μ} . Following [14], denote this subalgebra by $U(R)$. The relation [14] (32) shows that the products of the form $r(u\sharp 1 \otimes 1)$ where $r \in U(R)$ and $u\sharp 1 \otimes 1 \in U(\mathfrak{g})\sharp 1 \otimes_{\mathbf{k}} \mathbf{k} \subset U(\mathfrak{g})\sharp T \otimes_{\mathbf{k}} U(\mathfrak{g})\sharp T$ span a subalgebra in $H \otimes_{\mathbf{k}} H$. This is precisely our subalgebra W in this case. However, the relations (3.3),(3.4) in [14] for \mathfrak{g}_{κ} case, and the generalizations (31), (32) for general \mathfrak{g} , used to show that W is a subalgebra of $H \otimes_{\mathbf{k}} H$, do not have a simple analogue for general scalar extension $A\sharp T$ (not of enveloping algebra type). It is also not clear what is the precise structure of the subalgebra generated by $R(a)$ -s for all $a \in A$, in general. On the other hand, our Hopf algebraic definition (21) of $R(a)$ and the corresponding definition of W in the subsection 4.2 along with the lemmas therein guarantee that such general W is a subalgebra in $H \otimes_{\mathbf{k}} H$ in full generality.

The issues are more complicated when we pass from W to \mathcal{B} . In the enveloping algebra case, the subalgebra \mathcal{B} (denoted $\hat{\mathcal{B}}$ in [14]) is defined in [14] rather simply as the subalgebra of all elements of the form $\sum_i w_i \Delta_{\hat{S}(\mathfrak{g}^*)}(t_i)$

where $w_i \in W$, $t_i \in T = \hat{S}(\mathfrak{g}^*)$ are arbitrary (the sums may be infinite, in an appropriate completion). Equations (3.3),(3.4) in [14], can be abstracted and generalized to an arbitrary finite dimensional Lie algebra as the following proposition.

Proposition 4.13. For general \mathfrak{g} ,

$$\begin{aligned} [\Delta \partial^\mu, R(\hat{x}_\nu)] &= 0 \\ [\Delta \partial^\mu, \hat{x}_\nu \# 1 \otimes 1] &\in \Delta_T(T). \end{aligned} \tag{33}$$

Regarding that ∂^μ generate a dense subalgebra of T , this implies immediately that $\{\sum_i w_i \Delta_{\hat{S}(\mathfrak{g}^*)}(t) \mid w_i \in W, t_i \in T\}$ is a subalgebra of $H \otimes_{\mathbf{k}} H$, and that \mathcal{B} has a very simple structure of all sums of products of the form: an element in $U(R)$ times an element in $A \# 1 \otimes_{\mathbf{k}} \mathbf{k} \subset H \otimes_{\mathbf{k}} H$ times an element of the form $\Delta_T(t_i)$ with $t_i \in T$. We have exhibited above a similar structure – as a sum of products of elements from three subalgebras in this fixed order – for general scalar extension Hopf algebroids. In this generality, P_i do not commute with elements in W and multiple products (e.g. of the form $wtw't'w''$) of elements in W and elements in $\Delta_T(T)$ may appear, as analysed in the subsection 4.3. Regarding that $\mu(\text{id} \otimes_{\mathbf{k}} \tau)$ is not an antihomomorphism, the multiple products bring the main difficulty in our proof that the antipode τ is well defined (see Theorem 4.12 (i)).

Analogous comparisons may be made for the ideal \mathcal{B}_+ which is in [14] not defined as the intersection $I_A \cap \mathcal{B}$, but an equivalent description is given, constructing it in analogy to \mathcal{B} , but with the enveloping algebra $U(R)$ replaced by its ideal $U_+(R) \subset U(R)$ of elements which are not degree 0 in the standard filtration of the universal enveloping algebra. This explains the notation \mathcal{B}_+ . The commutation relations (3.1)-(3.4) in [14] imply that such \mathcal{B}_+ is indeed a two-sided ideal in \mathcal{B} .

Our approach also differs from [14] in insisting that the coproduct is still defined as taking values in $H \otimes_A H$ (rather than in $\mathcal{B}/(I_A \cap \mathcal{B})$ as an abstract algebra); the two-sided ideal trick is used only to make sense of the requirement and to check that the induced map into $\mathcal{B}/(I_A \cap \mathcal{B})$ is a morphism of algebras. Moreover, they view \mathcal{B} as an abstract algebra constructed from its pieces $U(R)$, $A \# 1 \otimes_{\mathbf{k}} \mathbf{k}$ and $\Delta_T(T) \cong T$. In our approach, the coherently associative tensor product of bimodules \otimes_A is used to formulate the coassociativity of the coproduct as in the standard definition of a bialgebroid [2, 5, 6, 16, 31]. In [14] the coproduct is taking values in $\mathcal{B}/(I_A \cap \mathcal{B})$ by definition and, in the spirit of their viewpoint, the higher iterations of the

coproduct in subalgebras

$$\mathcal{B}^{(j)} \subset H \otimes_{\mathbf{k}} H \otimes_{\mathbf{k}} \cdots \otimes_{\mathbf{k}} H \quad (j \text{ tensor factors}),$$

which define higher analogues of the subalgebra $\mathcal{B}^{(2)} := \mathcal{B} \subset H \otimes_{\mathbf{k}} H$. One also considers the higher analogues $\mathcal{B}_+^{(j)} = I_A^{(j)} \cap \mathcal{B}^{(j)}$ of $I_A \cap \mathcal{B}$ in order to deal with the (co)associativity issues. For example, $\mathcal{B}^{(3)}$ is generated by all ordered products of the form $r \cdot (a \otimes 1 \otimes 1) \cdot (\Delta \otimes \text{id})(\Delta(t))$ where $a \in A$, $t \in T$ and r belongs to the subalgebra generated by $\{R(a) \otimes 1 \mid a \in A\} \cup \{1 \otimes R(b) \mid b \in A\}$. The right ideal $I_A^{(j)}$ is the smallest right ideal in $H^{\otimes k}$ containing right ideals $I_A \otimes H^{\otimes(j-2)}$, $H \otimes I_A \otimes H^{\otimes(j-3)}$, \dots , $H^{\otimes(j-2)} \otimes I_A$. These are interesting structures, but in our view more cumbersome than the familiar usage of the bimodule tensor product \otimes_A .

5 Weak Hopf algebras

It is well-known that from the data of any weak Hopf algebra one can construct a corresponding Lu-Hopf algebroid. Upon looking at our axiomatics, G. Böhm has observed and sketched to us how to construct a Hopf algebroid with balancing subalgebra from a weak Hopf algebra. We present her results in this section, starting with a short review of weak Hopf algebras.

5.1 Weak bialgebras, standard definitions

A **weak \mathbf{k} -bialgebra** \mathbb{H} (see [4]) is a tuple $(\mathbb{H}, \mu, \eta, \Delta, \epsilon)$ where (A, μ, η) is an associative unital \mathbf{k} -algebra, $(\mathbb{H}, \Delta, \epsilon)$ is a coassociative counital \mathbf{k} -coalgebra, and the following compatibilities hold:

(i) Δ is multiplicative, $\Delta(ab) = \Delta(a)\Delta(b)$ for all $a, b \in \mathbb{H}$.

(ii) Weak multiplicativity of the counit

$$\epsilon(xyz) = \epsilon(xy_{(1)})\epsilon(y_{(2)}z), \quad (34)$$

$$\epsilon(xyz) = \epsilon(xy_{(2)})\epsilon(y_{(1)}z). \quad (35)$$

(iii) Weak comultiplicativity of the unit:

$$\begin{aligned} \Delta^{(2)}(1) &= (\Delta(1) \otimes 1)(1 \otimes \Delta(1)) \\ \Delta^{(2)}(1) &= (1 \otimes \Delta(1))(\Delta(1) \otimes 1) \end{aligned} \quad (36)$$

where we denoted $\Delta^{(2)} := (id \otimes \Delta)\Delta = (\Delta \otimes id)\Delta$.

For every weak bialgebra there are \mathbf{k} -linear maps $\Pi^L, \Pi^R : A \rightarrow A$ with properties $\Pi^R \Pi^R = \Pi^R$ and $\Pi^L \Pi^L = \Pi^L$ and defined by

$$\Pi^L(x) := \epsilon(1_{(1)}x)1_{(2)}, \quad \Pi^R(x) := 1_{(1)}\epsilon(x1_{(2)}).$$

These expressions are met below in two of the axioms for the antipode of a weak Hopf algebra. Less frequently, one also encounters idempotents $\bar{\Pi}^L, \bar{\Pi}^R$ given by

$$\bar{\Pi}^L(x) := \epsilon(1_{(2)}x)1_{(1)}, \quad \bar{\Pi}^R(x) := 1_{(2)}\epsilon(x1_{(1)}).$$

Now

$$\begin{aligned} \epsilon(xz) &= \epsilon(x1z) \stackrel{(35)}{=} \epsilon(x1_{(2)})\epsilon(1_{(1)}z) = \epsilon(\epsilon(x1_{(2)})1_{(1)}z) = \epsilon(\Pi^R(x)z), \\ &= \epsilon(x\epsilon(1_{(1)}z)1_{(2)}) = \epsilon(x\Pi^L(z)). \end{aligned}$$

The images of the idempotents Π^R and Π^L ,

$$\mathbb{H}^R := \Pi^R(\mathbb{H}), \quad \mathbb{H}^L = \Pi^L(\mathbb{H}),$$

are mutually dual as \mathbf{k} -linear spaces via the canonical nondegenerate pairing $\mathbb{H}^L \otimes \mathbb{H}^R \rightarrow \mathbf{k}$ given by $(x, y) \mapsto \epsilon(yx)$.

The identities $\Pi^L(x\Pi^L(y)) = \Pi^L(xy)$ and $\Pi^R(\Pi^R(x)y) = \Pi^R(xy)$ hold. Dually also $\Delta(\mathbb{H}^L) \subset \mathbb{H} \otimes \mathbb{H}^L$, $\Delta(\mathbb{H}^R) \subset \mathbb{H}^R \otimes \mathbb{H}$, and $\Delta(1) \in \mathbb{H}^R \otimes \mathbb{H}^L$.

5.2 Böhm's recipes

It is known that a weak Hopf algebra \mathbb{H} can be regarded as a Lu-Hopf algebroid over $A := \Pi^L(\mathbb{H})$ where the source map α being the inclusion $\Pi^L(\mathbb{H}) \subset \mathbb{H}$ and where the target map is given by

$$\beta(a) = \bar{\Pi}^L(a) = \epsilon(1_{(2)}a)1_{(1)} \quad \text{for } a \in A, \quad (37)$$

and the comultiplication $\Delta' = \pi \circ \Delta$ of the bialgebroid is the comultiplication $\Delta : \mathbb{H} \rightarrow \mathbb{H} \otimes_{\mathbf{k}} \mathbb{H}$ of the weak Hopf algebra followed by the canonical projection $\pi : \mathbb{H} \otimes_{\mathbf{k}} \mathbb{H} \rightarrow \mathbb{H} \otimes_{\Pi^L(\mathbb{H})} \mathbb{H}$.

Lemma 5.1. $1 \otimes 1 - \Delta(1) \in I_A$.

Proof. By definition, I_A is generated by all expressions of the form

$$I(h) := \beta(\Pi^L(h)) \otimes 1 - 1 \otimes \alpha(\Pi^L(h)), \quad h \in \mathbb{H}.$$

Now $\Pi^L(h) = \epsilon(1_{(1)}h)1_{(2)}$ hence by (37)

$$\begin{aligned} \beta(\Pi^L(h)) &= \epsilon(1_{(2)}\epsilon(1_{(1')}h)1_{(2')})1_{(1)} \\ &= \epsilon(1_{(2)}1_{(2')})\epsilon(1_{(1')}h)1_{(1)} \\ &\stackrel{(35)}{=} \epsilon(1_{(2)}h)1_{(1)}, \end{aligned} \tag{38}$$

where $1'_{(1)} \otimes 1'_{(1)}$ denotes another copy of $\Delta(1)$.

$$\begin{aligned} I(h) &= \epsilon(1_{(2)}h)1_{(1)} \otimes 1 - 1 \otimes \epsilon(1_{(1)}h)1_{(2)} \\ &= \bar{\Pi}^L(h) \otimes 1 - 1 \otimes \Pi^L(h). \end{aligned} \tag{39}$$

It is sufficient to prove that $1 \otimes 1 - \Delta(1) = I(1_{(2)})(1_{(1)} \otimes 1)$ because the right hand side manifestly belongs to I_A . From (39) we calculate

$$\begin{aligned} I(1'_{(2)})(1'_{(1)} \otimes 1) &= \epsilon(1_{(2)}1'_{(2)})1_{(1)}1'_{(1)} \otimes 1 - 1'_{(1)} \otimes \epsilon(1_{(1)}1'_{(2)})1_{(2)} \\ &= \epsilon((11')_{(2)})(11')_{(1)} \otimes 1 - 1_{(1)} \otimes \epsilon(1_{(2)})1_{(3)} \\ &= 1 \otimes 1 - \Delta(1), \end{aligned}$$

where in the middle line the axioms (36) on $\Delta^{(2)}$ were used for the second summand. \square

Lemma 5.2. $\Delta(1)I(h) = 0$.

Proof.

$$\begin{aligned} 1_{(1)}\epsilon(1_{(2')}h)1_{(1')} \otimes 1_{(2)} &= \epsilon((1_{(1)}1_{(1')})_{(2)})(1_{(1)}1_{(1')})_{(1)}\epsilon(1_{(2')}h)1_{(1')} \otimes 1_{(2)} \\ &= \epsilon(1_{(2)}1_{(2')})\epsilon(1_{(3')}h)1_{(1)}1_{(1')} \otimes 1_{(3)} \\ &= \epsilon(1_{(2)}1_{(2')}h)1_{(1)}1_{(1')} \otimes 1_{(3)} \\ &= \epsilon(1_{(2)}h)1_{(1)} \otimes 1_{(3)} \\ &= 1_{(1)} \otimes \epsilon(1_{(2)}h)1_{(3)} \\ &= 1_{(1)} \otimes \epsilon(1_{(2)}1_{(1')}h)1_{(3)}1_{(2')} \\ &= 1_{(1)} \otimes \epsilon(1_{(2)}1_{(2')})\epsilon(1_{(1')}h)1_{(3)}1_{(3')} \\ &= 1_{(1)} \otimes 1_{(2)}\epsilon(1_{(1')}h)1_{(2')} \end{aligned}$$

\square

Corollary 5.3. The right ideal I_A coincides with the principal right ideal generated by $1 \otimes 1 - \Delta(1)$.

Proof. By Lemma 5.1 element $1 \otimes 1 - \Delta(1) \in I_A$ and by Lemma 5.2, for every $h \in \mathbb{H}$, $I(h) = (1 \otimes 1 - \Delta(1))I(h)$, hence also $I_A \subset (1 \otimes 1 - \Delta(1))\mathbb{H}$. \square

Theorem 5.4. For a weak bialgebra $(\mathbb{H}, \mu, \eta, \Delta, \epsilon)$, define the subalgebra

$$\mathcal{B} := \Delta(1)(\mathbb{H} \otimes \mathbb{H})\Delta(1) \subset \mathbb{H} \otimes \mathbb{H}.$$

Then $(\mathbb{H}, \mu, \Pi^L(\mathbb{H}), \bar{\Pi}^L, \pi|_{\mathcal{B} \circ \Delta}, \Pi^L)$ is a left $\Pi^L(\mathbb{H})$ -bialgebroid with balancing subalgebra \mathcal{B} .

Proof. It is clear that \mathcal{B} is a subalgebra with unit $\Delta(1)$ and that $\text{Im } \Delta \subset \mathcal{B}$. By Lemma 5.2, the intersection $\mathcal{B} \cap I_A \subset \Delta(1)I_A = 0$ is the zero ideal of \mathcal{B} hence (C3MI) holds and $\Delta' : \mathbb{H} \rightarrow \mathbb{H} \otimes_{\Pi^L(\mathbb{H})} \mathbb{H}$ factorizes, indeed, through an algebra homomorphism $\mathbb{H} \rightarrow \mathcal{B}/(\mathcal{B} \cap I_A)$. It is clear that $\Delta(h) = \Delta(1)\Delta(h)\Delta(1) \in \mathcal{B}$ for every $h \in \mathbb{H}$, hence (C3Ma) holds. Then $\pi|_{\mathcal{B}} : \mathcal{B} \cong \mathcal{B}/(\mathcal{B} \cap I_A)$, hence as Δ is homomorphism, its corestriction $\Delta|_{\mathcal{B}}$ to \mathcal{B} is homomorphism and, finally, the corestriction followed by the restriction of the projection $\pi|_{\mathcal{B} \circ \Delta}|_{\mathcal{B}}$ is a homomorphism. Other properties (e.g. that $(\mathbb{H}, \Delta, \Pi^L)$ is a $\Pi^L(\mathbb{H})$ -coring) are well known as they coincide with the axioms of a left associative A -bialgebroid. \square

5.3 Antipode

A weak \mathbf{k} -bialgebra \mathbb{H} is a **weak Hopf algebra** if there is a \mathbf{k} -linear map $S : \mathbb{H} \rightarrow \mathbb{H}$ (which is then called an antipode) such that for all $x \in \mathbb{H}$

$$x_{(1)}S(x_{(2)}) = \epsilon(1_{(1)}x)1_{(2)}, \quad (40)$$

$$S(x_{(1)})x_{(2)} = 1_{(1)}\epsilon(x1_{(2)}), \quad (41)$$

$$S(x_{(1)})x_{(2)}S(x_{(3)}) = S(x) \quad (42)$$

Notice that the right hand side of (40) equals $\Pi^L(x)$ and the right hand side of (41) equals $\Pi^R(x)$. Suppose the antipode S is bijective. Set the antipode of the corresponding Hopf algebroid with a balancing subalgebra to be $\tau = S$. Since $I_A \cap \mathcal{B} = \{0\}$ any \mathbf{k} -linear map vanishes on it; hence so does the map $\mu \circ (\text{id} \otimes_{\mathbf{k}} \tau)$ of (10). Axiom (11) can be restated as $(S \circ \beta \circ \Pi^L)(h) = \Pi^L(h)$. To show this identity, notice that $(S \circ \beta \circ \Pi^L)(h) = S(\epsilon(1_{(2)}h)1_{(1)})$ by (38) and then it is enough to quote $S(1_{(1)})\epsilon(1_{(2)}x) = \Pi^L(x)$, which is the identity (2.24a) in [4]. Axiom (12) reads $h_{(1)}S(h_{(2)}) = \Pi^L(h)$ which is manifestly (40). Axiom (13) follows by calculation $S(h_{(1)})h_{(2)} = \beta(\Pi^L(S(h))) \stackrel{(38)}{=} 1_{(1)}\epsilon(1_{(2)}S(h)) = 1_{(1)}\epsilon(h1_{(2)})$, where the last equality is (2.23b) in [4], proven using axiom (42).

6 Twisting by invertible 2-cocycles

Ping Xu [31] has generalized Drinfeld's procedure of twisting of bialgebras by invertible counital 2-cocycles to associative bialgebroids. Basic treatment involves several subtle points [31] not appearing in bialgebra case. These do not readily generalize to arbitrary bialgebroids with balancing subalgebra, so we take conservative (strong) assumptions.

Definition 6.1. Let H be a left associative A -bialgebroid with balancing subalgebra $\mathcal{B} \subset H \otimes_{\mathbf{k}} H$. An element $\mathcal{F} \in H \otimes_A H$ is called a **2-cocycle** if either $\mathcal{F} \in \mathcal{B}$ or $\mathcal{B} \subset H \times_A H$ holds and if

$$[(\Delta \otimes_A \text{id})(\mathcal{F})](\mathcal{F} \otimes_{\mathbf{k}} 1) = [(\text{id} \otimes_A \Delta)(\mathcal{F})](1 \otimes_{\mathbf{k}} \mathcal{F}) \quad (43)$$

in $H \otimes_A H \otimes_A H$. 2-cocycle \mathcal{F} is **counital** if $(\text{id} \otimes_A \epsilon)\mathcal{F} = 1 = (\epsilon \otimes_A \text{id})\mathcal{F}$. If we write $\mathcal{F} = \sum_i F^{1i} \otimes F^{2i} := F^1 \otimes F^2$, then the counitality can be rewritten as $\beta(\epsilon(F^2))F^1 = 1 = \alpha(\epsilon(F^1))F^2$.

This equation (43) makes sense by assumptions $\mathcal{F} \in \mathcal{B}$ or $\mathcal{B} \subset H \times_A H$. The latter case is by Proposition 2.3 not quite a novel case of a bialgebroid. Still, we are interested in a recipe for the change of a balancing subalgebra under twisting. For $a \in A$ we define

$$\beta_{\mathcal{F}}(a) := \beta(F^2 \blacktriangleright a)F^1, \quad \alpha_{\mathcal{F}}(a) := \alpha(F^1 \blacktriangleright a)F^2. \quad (44)$$

Xu have proved that the twisted product $\star_{\mathcal{F}}$ on A defined by $a \star_{\mathcal{F}} b = \alpha_{\mathcal{F}}(a)\beta_{\mathcal{F}}(b)$ is associative and unital. For the \mathcal{F} -twisted base algebra $A_{\mathcal{F}} = (A, \star_{\mathcal{F}})$ maps $\alpha_{\mathcal{F}} : A_{\mathcal{F}} \rightarrow H$ and $\beta_{\mathcal{F}} : A_{\mathcal{F}}^{\text{op}} \rightarrow H$ are morphisms of \mathbf{k} -algebras with mutually commuting images. In particular, H becomes an $A_{\mathcal{F}}$ -bimodule and an $A_{\mathcal{F}} \otimes A_{\mathcal{F}}^{\text{op}}$ -ring; use $H^{\mathcal{F}}$ to emphasize the twisted structures. Xu has further shown that

$$\mathcal{F}(\beta_{\mathcal{F}}(a) \otimes 1 - 1 \otimes \alpha_{\mathcal{F}}(a)) \in I_A. \quad (45)$$

Define $I_{\mathcal{F}}$ as the right ideal in $H \otimes_{\mathbf{k}} H$ generated by all elements of the form $\beta_{\mathcal{F}}(a) \otimes 1 - 1 \otimes \alpha_{\mathcal{F}}(a)$. Then (45) implies $\mathcal{F}I_{\mathcal{F}} \subset I_A$. One says that \mathcal{F} is **invertible** if there is an element $\tilde{\mathcal{F}}^{-1} \in H \otimes_{\mathbf{k}} H$ such that $\tilde{\mathcal{F}}^{-1}I_A \subset I_{\mathcal{F}}$ and for $\mathcal{F}^{-1} := \mathcal{F}^{-1} + I_{\mathcal{F}}$ the identities $\mathcal{F}\mathcal{F}^{-1} = 1 \otimes_{\mathbf{k}} 1 + I_A$ and $\mathcal{F}^{-1}\mathcal{F} = 1 \otimes_{\mathbf{k}} 1 + I_{\mathcal{F}}$ hold. Denote also by $\tilde{\mathcal{F}} \in H \otimes_{\mathbf{k}} H$ any representative of \mathcal{F} . This is not the original definition of invertibility, but it is equivalent to it [26]. It

follows that $\mathcal{F}I_{\mathcal{F}} = I_A$. Clearly, $H^{\mathcal{F}} \otimes_{A_{\mathcal{F}}} H^{\mathcal{F}} = H \otimes_{\mathbf{k}} H/I_{\mathcal{F}}$. If we define $\Delta_{\mathcal{F}}(h) := \mathcal{F}^{-1}\Delta(h)\mathcal{F} : H^{\mathcal{F}} \rightarrow H^{\mathcal{F}} \otimes_{A_{\mathcal{F}}} H^{\mathcal{F}}$, this map of $A_{\mathcal{F}}$ -bimodules is coassociative due the 2-cocycle property, with counit $\epsilon_{\mathcal{F}} = \epsilon$. Notice that $\Delta_{\mathcal{F}}(h)I_{\mathcal{F}} = \mathcal{F}^{-1}\Delta(h)\mathcal{F}I_{\mathcal{F}} \subset \mathcal{F}^{-1}\Delta(h)I \subset \mathcal{F}^{-1}I_A = I_{\mathcal{F}}$. In other words, $\text{Im}\Delta_{\mathcal{F}} \subset H^{\mathcal{F}} \times_{A_{\mathcal{F}}} H^{\mathcal{F}}$, where the factorwise multiplication is well defined. Conjugation with \mathcal{F} (in the sense up to corresponding ideals) can easily be checked to preserve the multiplicativity property of $\Delta_{\mathcal{F}}$. Thus Xu obtains a new twisted $A_{\mathcal{F}}$ -bialgebroid $H^{\mathcal{F}}$ from the old A -bialgebroid H . We want to modify this a bit to allow for a balancing subalgebra. For this we first describe twisted Takeuchi product in terms of the original one. Suppose $\sum_i b_i \otimes_A b'_i \in H \times_A H$, that is $\sum_i (b_i \beta_{\mathcal{F}}(a) \otimes_{\mathbf{k}} b'_i - b_i \otimes_A b'_i \alpha(a)) \in I_A$. Then

$$\begin{aligned} \sum_i b_i F^1 \beta_{\mathcal{F}}(a) \otimes_A b'_i F^2 &\stackrel{(44)}{=} \sum_i b_i \beta(F_{(2)}^1 F'^2 \triangleright a) F_{(1)}^1 F'^1 \otimes_A b'_i F^2 \\ &\stackrel{(43)}{=} \sum_i b_i \beta(F_{(1)}^2 F'^1 \triangleright a) F^1 \otimes_A b'_i F_{(2)}^2 F'^2 \\ &= \sum_i b_i F^1 \otimes_A b'_i \alpha(F_{(1)}^2 F'^1 \triangleright a) F_{(2)}^2 F'^2 \\ &= \sum_i b_i F^1 \otimes_A b'_i F^2 \alpha_{\mathcal{F}}(a) \end{aligned}$$

Transformations in this calculations are allowed because elements in Takeuchi product multiply elements in $H \otimes_A H$ from the left, and the maps $(h \otimes_A g) \mapsto \beta(g \triangleright a)h$ and $(h \otimes_A g) \mapsto \alpha(h \triangleright a)g$ are well defined. We obtain $\sum_i b_i F^1 \beta_{\mathcal{F}}(a) \otimes_{\mathbf{k}} b'_i F^2 - \sum_i b_i F^1 \otimes_{\mathbf{k}} b'_i F^2 \alpha_{\mathcal{F}}(a) \in I_A$. Multiplying this by \mathcal{F}^{-1} from the left, we obtain

$$\mathcal{F}^{-1} \left(\sum_i b_i \otimes_A b'_i \right) \mathcal{F} \in \mathcal{F}^{-1}I_A = I_{\mathcal{F}}. \quad (46)$$

Therefore, $\mathcal{F}^{-1}(H \times_A H)\mathcal{F} \subset H^{\mathcal{F}} \times_{A_{\mathcal{F}}} H^{\mathcal{F}}$, and similarly for the converse inclusion, obtaining

$$\mathcal{F}^{-1}(H \times_A H)\mathcal{F} = H^{\mathcal{F}} \times_{A_{\mathcal{F}}} H^{\mathcal{F}}$$

Since I_A is right ideal and $\mathcal{F}\mathcal{F}^{-1} = 1 \otimes_{\mathbf{k}} 1 + I_A$, there are inclusions $I_A \mathcal{F}^{-1} \subset I_A = I_A \mathcal{F}\mathcal{F}^{-1} \subset I_A \mathcal{F}^{-1}$, hence $I_A \mathcal{F}^{-1} = I_A$. Define the twisted balancing subalgebra by $\mathcal{B}_{\mathcal{F}} := \mathcal{F}^{-1}\mathcal{B}\mathcal{F}$. Then $I_{\mathcal{F}} \cap \mathcal{B}_{\mathcal{F}} = (\mathcal{F}^{-1}I_A) \cap \mathcal{B}_{\mathcal{F}} = \mathcal{F}^{-1}(I_A \cap \mathcal{B})\mathcal{F}$. It is a conjugate of a two-sided ideal within algebra $H \otimes_{\mathbf{k}} H$, hence itself a two-sided ideal. If $\Delta : H \rightarrow \mathcal{B}/(I_A \cap \mathcal{B})$ is a morphism of \mathbf{k} -algebras, then clearly $\mathcal{F}^{-1}\Delta(-)\mathcal{F} : H^{\mathcal{F}} \rightarrow \mathcal{B}_{\mathcal{F}}/(I_{\mathcal{F}} \cap \mathcal{B}_{\mathcal{F}})$ is. Thus we obtain a twisted bialgebroid with a balancing subalgebra.

Xu [31] does not consider the antipode. A general proof that Drinfeld-Xu twist can be used to twist the antipode by using a canonical formula has been missing for 20 years due technical difficulties resolved only in [26], for Hopf algebroids with an invertible antipode in the sense of Böhm and Szlachányi [5]. We leave the extension of twisting to antipode in the setting of balancing subalgebras to a future treatment.

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